**10/575703** IAP15 Rec'd PCT/PTO 13 APR 2006

Docket No.: 1907-0233PUS1

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yukitaka SHIMIZU et al.

Application No.: NEW

Confirmation No.: N/A

Filed: April 13, 2006

Art Unit: N/A

For: CONTENT USE CONTROL DEVICE,

RECORDING DEVICE, REPRODUCTION DEVICE, RECORDING MEDIUM, AND CONTENT USE CONTROL METHOD Examiner: Not Yet Assigned

## **INFORMATION DISCLOSURE STATEMENT (IDS)**

MS PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement accompanies the new patent application submitted herewith.

A summary/abstract translation of some of the non-English language references is enclosed. Additionally, please note that U.S. Patent Publication No. 2002/0003883 corresponds to JP-A-2002-109255; U.S. Patent Publication No. 2003/0140009 corresponds to JP-A-2003-022377; U.S. Patent Publication No. 2003/0028622 corresponds to JP-A-2003-178163; and U.S.

Patent Publication No. 2002/0095429 corresponds to JP-A-2002-324207. Additionally, please note that the last five foreign references cited are also cited in the specification.

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

A concise explanation of relevance of the items listed on form PTO/SB/08 is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

2 CG/clb

10/575703

IAP15 Rec'd PCT/PTO 13 APR 2006

Application No.: NEW

Docket No.: 1907-0233PUS1

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: April 13, 2006

Respectfully submitted,

& Charles Gorenstein

Registration No.: 29,271

BIRCH, STEWART, KOLASCH & BIRCH, LLP

8110 Gatehouse Road

Suite 100 East

P.O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000

Attorney for Applicant

3 CG/clb

## IAP15 Rec'd PCT/PTO 13 APR 2006

PTO/SB/08a/b (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO		Complete if Known					
				Application Number	NEW	10/57	P / U:
11	NFORMATIO	N DI	SCLOSURE	Filing Date	April 13, 2006		
STATEMENT BY APPLICANT			APPLICANT	First Named Inventor	Yukitaka SHIMIZU		
				Art Unit	N/A		
(Use as many sheets as necessary)			s necessary)	Examiner Name	Not Yet Assigned		
Sheet	1	of	1	Attorney Docket Number	1907-0233PUS1		

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
/C.A./	AA*	US-2002/0003883-A1	01-10-2002	Noda et al.		
W		US-2003/0140009-A1	07-24-2003	Namba et al.		
W	AC*	US-2003/0028622-A1	02-06-2003	Inoue et al.		
/C.A./	AD*	US-2002/0095429-A1	07-18-2002	Song et al.		

	FOREIGN PATENT DOCUMENTS						
Examine Initials*	r Cite	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
7C.	ABA	JP-2002-109255-A	04-12-2002				
8	BB	JP-2003-22377-A	01-24-2003				
	BC	JP-2003-99729-A	04-04-2003			ABS	
	BD	JP-2003-178163-A	06-27-2003				
	BE	JP-2002-324207-A	11-08-2002				
	BF	JP-2003-174443-A	06-20-2003			ABS	
	BG	JP-2001-92721-A	04-06-2001			ABS	
	BH	JP-10-210411-A	08-07-1998			ABS	
17	BI	WO-01/43342-A1	06-14-2001			ABS	
-	BJ	JP-2000-173158-A	06-23-2000			ABS	
/C./	∖,/BK	JP-2002-288933-A	10-04-2002			ABS	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. \*Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	/Charles Adwumezie/	Date	12/21/2000
Signature	/Criaries Agwurnezie/	Considered	12/21/2009

Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attacted.